IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: not yet assigned

Art Unit: not yet assigned

Application of:

Erbacher and Weber

Serial No.:

(not yet assigned)

Filed:

(concurrently herewith)

Entitled:

CATIONIC REAGENTS FOR

TRANSFECTION

Attorney Docket No.: QGN-008.1 US

Assistant Commissioner for Patents

Box Patent Application Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office. A listing of the cited documents is also enclosed, as well as, for the Examiner's convenience, copies of the documents in the list. Pursuant to 37 C.F.R. § 1.97(b)(3), because this Statement is being submitted before the first Office Action on the merits, no fee is required.

Foreign Patent Applications

PCT Publication No. WO 93/05162, published March 18, 1993.

PCT Publication No. WO 96/34109, published October 31, 1996.

European Patent Application No. EP 0 773 295 A2, published May 14, 1997.

<u>Abstracts</u>

Horniak, L. et al., Chemical Abstracts, 110(3): #20127 (January 16, 1989).

Sykora, Peter et al., *Chemical Abstracts*, 115(7): #66241 (August 19, 1991).

Sykora, Peter et al., Chemical Abstracts, 115(25): #275625 (December 23, 1991).

Applicants do not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

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Substitute for Form 1449A/PTO Complete if Known INFORMATION DISCLOSURE Application Number not yet assigned STATEMENT BY APPLICANT Filing Date concurrently herewith First Named Inventor Erbacher (Use as many sheets as necessary.) Group Art Unit not yet assigned Sheet Attorney Docket Number QGN-008.1 US

U.S. PATENT DOCUMENTS

			BITT BUCUMENTS			
Exam. Initials	Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)
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FOREIGN PATENT DOCUMENTS

Exam. Initials		Document Number	Date	Country	Class	Sub- class	Transl Yes	ation No
	AA	EP 0 773 295 A2	05/14/97	EPO				
	AB	WO 93/05162	03/18/93	PCT				
	AC	WO 96/34109	10/31/96	PCT				
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OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)

Exam. Initials		Document Identification
	AD	Horniak, L. et al., Chemical Abstracts, 110(3): #20127 (January 16, 1989).
	AE	Sykora, Peter et al., Chemical Abstracts, 115(7): #66241 (August 19, 1991).
	AF	Sykora, Peter et al., Chemical Abstracts, 115(25): #275625 (December 23, 1991).

Date Considered:

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.